



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :  
Hughes JACCARD et al. : **Mail Stop: PCT**  
Serial No. 10/591,162 : Attorney Docket No. 2006\_1323A  
Filed August 30, 2006 :

SPECIFIC SUBSTRATES FOR O6-ALKYLGUANINE-  
DNA ALKYLTRANSFERASE  
[Corresponding to PCT/EP2005/050900  
Filed March 1, 2005]

THE COMMISSIONER IS AUTHORIZED  
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FEE FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975.

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**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of the references listed on attached form PTO-1449 and any additional information identified below in paragraph 3. A legible copy of each reference listed on the Form PTO-1449 is enclosed, except a copy is not provided for:

- ☒ each U.S. Patent and U.S. Patent application publication;
- ☐ each reference previously cited in the international application  
PCT/\_\_\_\_\_; and/or
- ☐ each reference previously cited in prior parent application Serial No.  
\_\_\_\_\_.

1a. ☒ This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the  
above-entitled application, or

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

**and thus no certification and/or fee is required.**

1b. ☐ This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

(1) ☐ the certification of paragraph 2 below is provided, **or**

(2) ☐ the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

1c. ☐ This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

**the certification of paragraph 2 below is provided, and**

**the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.**

2. It is hereby certified

a. ☐ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or

b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3. ☐ Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.
4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:
- a. ☐ a full or partial English language translation submitted herewith,
  - b. ☒ a foreign patent office search report (in the English language) submitted herewith,
  - c. ☐ the concise explanation contained in the specification of the present application at page,
  - d. ☐ the concise explanation set forth in the attached English language abstract,
  - e. ☐ the concise explanation set forth below or on a separate sheet attached to the reference:
5. ☒ A foreign patent office search report citing one or more of the references is enclosed.

Respectfully submitted,

Hughes JACCARD et al.

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October 3, 2006

## INFORMATION DISCLOSURE STATEMENT

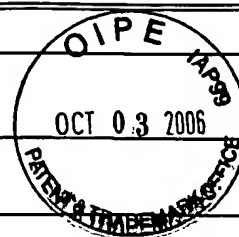
FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)  
(Use several sheets if necessary)

Date Submitted to PTO: October 3, 2006

ATTY DOCKET NO.  
2006\_1323ASERIAL NO.  
10/591,162APPLICANT  
Hughes JACCARD et al.FILING DATE  
August 30, 2006

GROUP



## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,691,307	11/1997	Moschel et al.			
	AB						
	AC						
	AD						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
	AE	02/083937	10/2002	WO				
	AF	199 03 895	8/2000	DE				X
	AG	97/20843	6/1997	WO				
	AH	01/85221	11/2001	WO				
	AI	2004/031405	4/2004	WO				
	AJ							
	AK							

## OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

	AL	A. Juillerat et al., "Directed Evolution of O <sup>6</sup> -Alkylguanine-DNA Alkyltransferase for Efficient Labeling of Fusion Proteins with Small Molecules <i>In Vivo</i> ", Chemistry & Biology, Vol. 10, No. 4, pp. 313-317, April 2003.
	AM	R. Damoiseaux et al., "Synthesis and Applications of Chemical Probes for Human O <sup>6</sup> -Alkylguanine-DNA Alkyltransferase", Chembiochem - A European Journal of Chemical Biology, Vol. 2, No. 4, pp. 285-287, April 2, 2001.
	AN	A. Keppler et al., "A General Method for the Covalent Labeling of Fusion Proteins with Small Molecules <i>In Vivo</i> ", Nature Biotechnology, Vol. 21, No. 1, pp. 86-89, January 20, 2003.

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.